# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 8, 2024

# Minerva Neurosciences, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 000-36517 (Commission File Number) 26-0784194 (IRS Employer ID Number)

1500 District Avenue, Burlington, MA 01803 (Address of principal executive offices) (Zip Code)

 $(617)\ 600\text{--}7373$  (Registrant's telephone number, including area code)

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

|  | ck the appropriate box below if the Form 8-K filing is into wing provisions:                                    | ended to simultaneously satisfy the fil | ing obligation of the registrant under any of the |
|--|---|---|---|
|  | Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)                           |   |   |
|  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)                          |   |   |
|  | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))          |   |   |
|  | Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))          |   |   |
| Securities registered pursuant to Section 12(b) of the Act:  |   |   |   |
|  | Title of each class   | Trading<br>Symbol(s)                    | Name of each exchange<br>on which registered      |
| (  | Common Stock, \$0.0001 par value per share  | NERV                                    | The Nasdaq Capital Market                         |
| Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter). |   |   |   |
| Eme  | rging growth company $\square$  |   |   |
|  | emerging growth company, indicate by check mark if the or revised financial accounting standards provided pursu | •                                       |   |

#### Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

As previously disclosed on a Current Report on Form 8-K filed with the Securities and Exchange Commission on April 12, 2024, on April 10, 2024, Minerva Neurosciences, Inc. (the "Company") received written notice from The Nasdaq Stock Market LLC ("Nasdaq") notifying the Company that for the last 31 consecutive business days, the Company's minimum Market Value of Listed Securities was below the minimum of \$35 million required for continued listing on The Nasdaq Capital Market pursuant to Nasdaq Listing Rule 5550(b)(2) (the "Rule"). The Company had until October 7, 2024 to regain compliance with the Rule.

On October 8, 2024 the Company received a second written notice from Nasdaq indicating that, based upon the Company's continued non-compliance with the Rule, the staff of Nasdaq had determined to delist the Company's securities from The Nasdaq Capital Market unless the Company timely requests a hearing before a Nasdaq Hearings Panel (the "Panel"). As a result, the Company intends to timely request a hearing before the Panel. The hearing request will stay any suspension or delisting action pending the completion of the hearing and the expiration of any additional extension period granted by the Panel following the hearing. Under the Nasdaq Listing Rules, the Panel has the discretion to grant a further extension not to exceed April 5, 2025. Notwithstanding, there can be no assurance that the Panel will grant the Company a further extension or that the Company will ultimately regain compliance with all applicable requirements for continued listing on The Nasdaq Capital Market.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## MINERVA NEUROSCIENCES, INC.

Dated: October 11, 2024 By: /s/ Geoffrey Race

Name: Geoffrey Race
Title: President

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