SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>HASLER HANS PETER  | 2. Date of Event<br>Requiring Statem<br>(Month/Day/Year)<br>12/10/2017 | ement Minerya Neurosciences Inc [NERV] |   |  |   |  |   |
|--|--|--|---|--|---|--|---|
| (Last) (First) (Middle)<br>C/O MINERVA NEUROSCIENCES, INC.   |  |  | 4. Relationship of Reporting Pers<br>(Check all applicable)<br>X Director |  |   | 5. If Amendment, Date of Original Filed<br>(Month/Day/Year)    |   |
| 1601 TRAPELO ROAD, SUITE 284   |  |  | Officer (give title below)  | Other (specify below)                  |   | 6. Individual or Joint/Group Filing (Check<br>Applicable Line) |   |
| (Street)<br>WALTHAM MA 02451   |  |  |   |  |   |  | y One Reporting Person<br>y More than One<br>erson          |
| (City) (State) (Zip)   |  |  |   |  |   |  |   |
| Table I - Non-Derivative Securities Beneficially Owned   |  |  |   |  |   |  |   |
| 1. Title of Security (Instr. 4)  |  |  | . Amount of Securities<br>eneficially Owned (Instr. 4)                    |  |   | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)       |   |
| Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |  |  |   |  |   |  |   |
| 1. Title of Derivative Security (Instr. 4)   | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)         |  | 3. Title and Amount of Secur<br>Underlying Derivative Secur               |  | 4.<br>Conversion<br>or Exercise<br>Price of | e Form:  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|  |  | Expiration<br>Date                     | Title   | Amount<br>or<br>Number<br>of<br>Shares | Derivative<br>Security                      | /e or Indirect   |   |
| Explanation of Responses:  |  |  |   |  |   |  |   |

**Remarks:** 

No securities beneficially owned. Exhibit List - Exhibit 24 - Power of Attorney

No securities are beneficially owned.

/s/ Matt Bartholomae, Attorney-in-Fact

12/12/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints Matt Bartholomae of Minerva Neurosciences, Inc. (the "Company") and Marc Recht, Ryan Sansom and James Schneider with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

1. execute for and on behalf of the undersigned, in the undersigned's capacity as an officer or director, as the case may be, of the Company, Forms 3, 4 and 5 (and any amendments thereto) in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules thereunder;

2. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendments thereto, and timely file such form with the U.S. Securities and Exchange Commission (the "SEC") and any securities exchange or similar authority, including without limitation the filing of a Form ID or any other documents necessary or appropriate to enable the undersigned to file the Forms 3, 4 and 5 electronically with the SEC; and

3. seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's securities from any broker or financial institution, and the undersigned hereby authorizes any such person to release any such information to each of the undersigned's attorneys-in-fact appointed by this Power of Attorney and approves and ratifies any such release of information; and

4. take any other action in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by or for, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such information and disclosure as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever required, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request and on the behalf of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with, or any liability for the failure to comply with, any provision of Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 or 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to each of the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney as of this 6th day of December, 2017.

Signed and acknowledged: /s/ Hans Peter Hasler Signature By: Hans Peter Hasler