(Street) ST. HELLIER, **CHANNEL** 

**ISLANDS** 

**Y9** 

JE4 9WG

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	

OMB Number: 3235-0287 er response: 0.5

> > 7. Nature of Indirect Beneficial Ownership (Instr. 4)

11. Nature of Indirect Beneficial Ownership (Instr. 4)

See Footnotes<sup>(1)(3)</sup> (5)(6)

## Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

U obliga	in 16. Form 4 or tions may conti ction 1(b).				F	iled p	ursu or S	ant to Section ection 30(h) o	ı 16(a) o of the Inv	f the S restme	ecurities Exc nt Company	hange Act Act of 1940	of 1934 0			III .	ted average er respons		
1. Name and Address of Reporting Person*  Index Venture Associates III Ltd						2. Issuer Name and Ticker or Trading Symbol  Minerva Neurosciences, Inc. [ NERV ]								5. Relationship of Rep (Check all applicable) Director		( )	s) to Issuer 10% Owner		
(Last) (First) (Middle) NO. 1 SEATON PLACE							3. Date of Earliest Transaction (Month/Day/Year) 12/12/2016							Officer (give title Other (specify below) below)					
(Street) ST. HELIER, CHANNEL ISL.  ST. 48YJ					4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable Line)     Form filed by One Reporting Person     X Form filed by More than One Reporting Person						
(City)	(	(State)	(Zip)																
			Table	I - Noı	n-Der	ivati	ive	Securities	Acqu	ıired,	, Dispose	d of, or	Beneficia	Ily Owned	i				
1. Title of	Security (Ins	tr. 3)		Date	nsaction h/Day/Y	ear)	Exec if an	Deemed cution Date, y nth/Day/Year)	3. Transa Code (I 8)		4. Securities Disposed Of	S Acquired ( f (D) (Instr. :	(A) or 3, 4 and 5)	5. Amount o Securities Beneficially Following Reported		6. Owner Form: D (D) or In (I) (Instr	oirect odirect	7. Nature of Indirec Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) or (D)	Price	Transaction (Instr. 3 and					
Common	Stock			12/3	12/201	16			Х		202,634(2	2) A	\$5.772	4,690,90	O6 <sup>(3)</sup>	]		See Footnotes <sup>(1)</sup> (3)(5)(6)	
			Tab					ecurities <i>A</i>						y Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution if any	A. Deemed Execution Date,		action (Instr.			6. Date Exer Expiration D (Month/Day/		ate	7. Title and Amount o Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nun deriva Securi Benefi Owned Follow Repor	ive Ownership lies Form: Direct (D) or Indirect (I) (Instr. 4		Ownership (In:	
					Code	v	(4	A) (D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Transa (Instr.	action(s) 4)			
Warrant Shares	\$5.772	12/12/2016			X			202,634 <sup>(4)</sup>	03/18	/2015	03/18/2017	Common Shares	202,634(2	\$0		0	I	See Footnotes <sup>(1)</sup> (5)(6)	
(Last)		Reporting Person* SSOCIATES III  (First)  ACE	<u>Ltd</u>	Middle)															
(Street) ST. HEL CHANN		Y9	J	E4 8Y	J														
(City)		(State)	(2	Zip)															
		Reporting Person*																	
(Last) 44 ESPI	ANADE	(First)	(1	Middle)															
(Street) ST. HEL CHANN ISLAND	IEL	Y9	J	E4 9W	/G														
(City)		(State)	(2	Zip)															
		Reporting Person*																	
(Last) 44 ESPI	ANADE	(First)	1)	Middle)															

(City)	(State)	(Zip)	
1. Name and Addres Yucca (Jersey	s of Reporting Person* ) <u>SLP</u>		
(Last) 44 THE ESPLAN	(First) NADE	(Middle)	
(Street) ST. HELIER	Y9	JE4 9WG	
(City)	(State)	(Zip)	

## **Explanation of Responses:**

- 1. Index Venture Associates III Limited is the general partner of Index Ventures III (Jersey), L.P., Index Ventures III (Delaware), L.P., and Index Ventures III Parallel Entrepreneur Fund (Jersey) L.P. Yucca (Jersey) SLP is the Administrator of the Index Co-Investment Scheme acting through Elian Employee Benefit Services Limited. Index Venture Associates IV Limited is the general partner of Index Ventures IV (Jersey) L.P. and Index Ventures IV Parallel Entrepreneur Fund (Jersey) L.P. Index Venture Associates V Limited is the general partner of Index Ventures IV Parallel Entrepreneur Fund (Jersey), L.P. and Index Ventures V (Jersey), L.P.
- 2. Composed of 35,376 common shares acquired by Index Ventures III (Jersey), L.P., 71,862 common shares acquired by Index Ventures III (Delaware), L.P., 1,280 common shares acquired by Index Ventures IV (Jersey), L.P., 48,790 common shares acquired by Index Ventures IV (Jersey), L.P., 48,790 common shares acquired by Index Ventures IV (Jersey), L.P., 48,790 common shares acquired by Index Ventures IV (Jersey), L.P., 313 common shares acquired
- 3. Composed of 813,095 common shares held by Index Ventures III (Jersey), L.P., 1,651,714 common shares held by Index Ventures III (Delaware), L.P., 29,424 common shares held by Index Ventures IV (Jersey), L.P., 17,29,474 common shares held by Index Ventures IV (Jersey), L.P., 107,210 common shares held by Index Ventures IV Parallel Entrepreneur Fund (Jersey), L.P., 893,199 common shares held by Index Ventures V (Jersey), L.P., 2,328 common shares held by Index Ventures V Parallel Entrepreneur Fund (Jersey), L.P., and 59,552 common shares held by Yucca (Jersey) SLP.
- 4. Composed of 35,376 warrant shares exercised by Index Ventures III (Jersey), L.P., 71,862 warrant shares exercised by Index Ventures III (Delaware), L.P., 1,280 warrant shares exercised by Index Ventures III (Parallel Entrepreneur Fund (Jersey), L.P., 48,790 warrant shares exercised by Index Ventures IV (Jersey), L.P., 4,631 warrant shares exercised by Index Ventures IV Parallel Entrepreneur Fund (Jersey), L.P., 313 warrant shares exercised by Index Ventures IV Parallel Entrepreneur Fund (Jersey), L.P., and 1,798 warrant shares exercised by Yucca (Jersey) SLP.
- 5. Michele Ollier, Director of the Issuer, is a partner of Medicxi Ventures (Jersey) Limited Succursale de Geneve which provides sub-advisory services to the foregoing funds and Dr. Ollier disclaims beneficial ownership of all such shares held by the foregoing funds, except to the extent of her proportionate pecuniary interest therein. Francesco De Rubertis, Director of the Issuer, is a partner of Medicxi Ventures (UK) LLP which provides sub-advisory services to the foregoing funds and Dr. De Rubertis disclaims beneficial ownership of all such shares held by the foregoing funds, except to the extent of his proportionate pecuniary interest therein. Index Venture Associates III Limited, Index Venture Associates IV Limited, Index Venture Associ
- 6. This Statement Form 4 is being filed jointly by the Index Entities. Each of the Index Entities disclaims beneficial ownership held of record by any of the other Index Entities, except to the extent of their respective proportionate pecuniary interest(s) therein.

/s/ Index Venture Associates IV

Limited, By: /s/ Sinead Meehan, 12/14/2016

Director

/s/ Index Venture Associates III

<u>Limited, By: /s/ Nigel</u> <u>12/14/2016</u>

Greenwood, Director

Yucca (Jersey) SLP, By: Elian

**Employee Benefit Services** 

Limited as authorized signatory

of Yucca (Jersey) SLP in its

capacity as an Administrator of 12/14/2016

the Index Co-Investment Scheme,

By: /s/ Alex Di Santo and Edward

Thorogood, Authorized

**Signatories** 

\*\* Signature of Reporting Person Da

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.